

Form PTO 1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93)		ATTORNEY'S DOCKET NUMBER <b>PU60627</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)
INTERNATIONAL APPLICATION NO. <b>PCT/US2004/042768</b>	INTERNATIONAL FILING DATE <b>17 December 2004</b>	PRIORITY DATE CLAIMED <b>17 December 2003</b>
TITLE OF INVENTION <b>POLYMERIC MICELLAR COMPLEXES AND DRUG DELIVERY VEHICLES THEREOF</b>		
APPLICANT(S) FOR DO/EO/US <b>Francis IGNATIUS, Yue Hu LI</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S. C. 371(c)(3)).
9. ☒ A Supplemental oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  - a. ☐ was submitted as part of the international application under Rule 4.17(iv).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; and Form PTO-1449.
12. ☒ A copy of the assignment document ( 3 pages); and a 1 page Statement Under 37 CRF 3.73(b).
13. ☒ A **FIRST** preliminary amendment.  
(Add claim to priority via Preliminary Amendment for US originating cases only)
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☒ Power of Attorney and Correspondence Address Indication Form (1 page).
17. ☒ An Abstract on a separate sheet of paper (1 page).
18. ☒ Copy of Form PCT/ISA/210 ( 2 pages).
19. ☐ Other items or information.